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## NOTICE OF ALLOWANCE AND FEE(S) DUE

27777

7500

01/06/2011

PHILIP S. JOHNSON JOHNSON & JOHNSON ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003

| EXAMINER       |              |  |  |  |
|----------------|--------------|--|--|--|
| WANG, CHANG YU |              |  |  |  |
| ART UNIT       | PAPER NUMBER |  |  |  |
| 1649           |              |  |  |  |

DATE MAILED: 01/06/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/528.928      | 03/23/2005  | Marc Hubert Mercken  | PRD-0032-USPCT1     | 4646             |

TITLE OF INVENTION: N-11 TRUNCATED AMYLOID-BETA ABETA11-X MONOCLONAL ANTIBODIES AND COMPOSITIONS

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 04/06/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 27777 7590 01/06/2011 Certificate of Mailing or Transmission PHILIP S. JOHNSON I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. **JOHNSON & JOHNSON** ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003 (Depositor's name (Signature (Date ATTORNEY DOCKET NO. APPLICATION NO. FIRST NAMED INVENTOR CONFIRMATION NO. FILING DATE 10/528.928 03/23/2005 Marc Hubert Mercken PRD-0032-USPCT1 4646 TITLE OF INVENTION: N-11 TRUNCATED AMYLOID-BETA ABETA11-X MONOCLONAL ANTIBODIES AND COMPOSITIONS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 04/06/2011 **EXAMINER** ART UNIT CLASS-SUBCLASS WANG, CHANG YU 1649 514-002000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| 27777 75  | 590 01/06/2011 |                      | EXAM                            | INER             |
| PHILIP S. JOHNSON   |                |                      | WANG, CHANG YU                  |                  |
| JOHNSON & JOH   |                |                      | ART UNIT                        | PAPER NUMBER     |
| ONE JOHNSON & JOHNSON PLAZA<br>NEW BRUNSWICK, NJ 08933-7003 |                |                      | 1649<br>DATE MAILED: 01/06/2011 |                  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|  | Application No.  | Applicant(s)   |     |
|--|--|--|-----|
|  | 10/528,928   | MERCKEN ET AL.   |     |
| Notice of Allowability   | Examiner   | Art Unit   |     |
|  | CHANG-YU WANG  | 1649   |     |
| The MAILING DATE of this communication app<br>All claims being allowable, PROSECUTION ON THE MERITS IS<br>herewith (or previously mailed), a Notice of Allowance (PTOL-85<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F<br>of the Office or upon petition by the applicant. See 37 CFR 1.31   | nears on the cover sheet wi<br>S (OR REMAINS) CLOSED in<br>Or other appropriate communication is s   | th the correspondence address<br>this application. If not included<br>inication will be mailed in due course.  |     |
| 1. This communication is responsive to <u>11/24/10</u> .   |  |  |     |
| 2. X The allowed claim(s) is/are 26,27,29 and 30.  |  |  |     |
| 3. Acknowledgment is made of a claim for foreign priority use a) All b) □ Some* c) □ None of the:  1. □ Certified copies of the priority documents have 2. □ Certified copies of the priority documents have 3. ☒ Copies of the certified copies of the priority documents have linternational Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONITHIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4. □ A SUBSTITUTE OATH OR DECLARATION must be subministed in the subministed part of the priority documents and provided in the subministed part of the priority documents and provided part of the priority documents have subministed by the Notice of Draftsper 1) □ hereto or 2) □ to Paper No./Mail Date | e been received. The been received in Application occuments have been received. The occuments have been received of this communication to file MENT of this application. The occupancy of the attached EXA res reason(s) why the oath of the submitted. The occupancy occu | n No  If in this national stage application from a reply complying with the requirement aminers.  MINER'S AMENDMENT or NOTICE declaration is deficient.  If (PTO-948) attached | nts |
| Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in  |  |  | f   |
| 6. ☐ DEPOSIT OF and/or INFORMATION about the depo<br>attached Examiner's comment regarding REQUIREMENT   |  |  |     |
| Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  | 6. ☐ Interview Sı<br>Paper No./<br>7. ☑ Examiner's   | formal Patent Application  ummary (PTO-413),  Mail Date  Amendment/Comment  Statement of Reasons for Allowance  -  |     |
| /Chang-Yu Wang/<br>Examiner, Art Unit 1649   |  |  |     |

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## **EXAMINER'S AMENDMENT & COMMENT**

1. Claims 26, 27, 29 and 30 are currently pending and the subject of the instant Office action.

### Reasons for Allowance

- 2. The claims are allowed for the reasons of record. Due to high numbers of polymorphisms encoding antibodies, the anti-A $\beta$ 11-x monoclonal antibodies produced by hybridomas having the accession number LMBP 5896CB and the accession number LMBP 5897CB are deemed structurally distinct based on the primary amino acid sequence, and therefore are free from the prior art. Accordingly, the claims of this application are deemed allowable.
- 3. Claims 26, 27, 29 and 30 are allowed and renumbered as claims 1-4. In addition, the recitations "AB11-x" and "AB1-40/42" recited in claims 26, 27, 29 and 30 were corrected as "A $\beta$ 11-x" and "A $\beta$ 1-40/42".

The title was changed to "N-11 truncated amyloid-beta  $A\beta$ 11-x monoclonal antibodies and compositions"

## **Drawings**

4. The drawings filed on 3/23/2005 are accepted by the Examiner.

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Art Unit: 1649

5. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled

"Comments on Statement of Reasons for Allowance."

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chang-Yu Wang, Ph.D. whose telephone number is 571-272-4521. The examiner can normally be reached on Monday through Friday 8:30am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Stucker, can be reached on 571-272-0911. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chang-Yu Wang December 28, 2010

/Chang-Yu Wang/ Examiner, Art Unit 1649